



**Beyond Talk:
Leadership,
Action and Impact**
A Virtual Summit for Investors

**March 16-17, 2021
12 pm - 5:15 pm EDT**

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EVENT PRODUCER





Beyond Talk: Leadership, Action and Impact A Virtual Summit for Investors

March 16–17, 2021 | 12:00pm - 5:15pm EDT

AGENDA

Tuesday, March 16, 2021

11:45 am Virtual Lobby Opens for All Attendees

12:00 pm Welcome Remarks by Summit Chair

- **Sancia Dalley**, Senior Vice President, Strategic Partnerships and Investor Engagement, Robert F. Kennedy Human Rights

12:05 pm FIRESIDE CHAT | The First Year of the Biden-Harris Administration: What Can Investors Expect on Policy and Social Issues

- **Yamiche Alcindor**, White House Correspondent, PBS NewsHour (Moderator)
- **Afsaneh Mashayekhi Beschloss**, Founder and Chief Executive Officer, Rockcreek
- **Michael Frerichs**, Treasurer, State of Illinois

12:50 pm The Healthcare Market: Investment Opportunities, Vaccines, and the Inequities of a Pandemic

- The Honorable **Eric D. Hargan**, Former US Deputy Secretary, Health and Human Services (Moderator)
- **Adam Blumenthal**, Founder and Managing Partner, Blue Wolf Capital Partners
- **Matt Holt**, Managing Director and President, Private Equity, New Mountain Capital
- **Bill Lee**, Senior Vice President and Chief Investment Officer, New York Presbyterian Hospital

1:35 pm NETWORKING SESSION

2:00 pm Investing in the World We Want to Live In - Data, Society and Impact

- **Felix Salmon**, Chief Financial Correspondent, Axios (Moderator)
- **David Craig**, Group Head, Data & Analytics and Chief Executive Officer, Refinitiv London Stock Exchange Group (LSEG)
- **José E. Feliciano**, Co-Founder and Managing Partner, Clearlake Capital; Board Co-Chair, Robert F. Kennedy Human Rights
- **Livia Firth**, Founder and Creative Director, Eco-Age
- **Antonio Zappulla**, Chief Executive Officer, Thomson Reuters Foundation

AGENDA

- 2:55 pm** **FIRESIDE CHAT | The Path to Pension Efficiency Today**
- **Hilton Romanski**, Partner and Senior Managing Director, Siris Capital (Moderator)
 - **Jonathan Grabel**, Chief Investment Officer, Los Angeles County Employees Retirement Association
- 3:40 pm** **Why Emerging Manager Programs Still Matter: A Conversation with Leaders in Asset Management and Public Pension**
- **Marvin S. Rosen**, Shareholder, Greenberg Traurig; Board Member, Robert F. Kennedy Human Rights (Moderator)
 - **Lindsay Creedon**, Partner, Head of Diversity and Inclusion, StepStone Group
 - **A.J. Hernandez**, Director of Emerging Managers, New York State Common Retirement Fund
 - **Ray Whiteman**, Co-Founder and Managing Partner, Stellex Capital
- 4:25 pm** **Day One Wrap-Up**
- **Sancia Dalley**, Senior Vice President, Strategic Partnerships and Investor Engagement, Robert F. Kennedy Human Rights
- 4:30 pm** **NETWORKING SESSION**
- 5:15 pm** **DAY ONE CONCLUDES**

Wednesday, March 17, 2021

- 11:45 am** **Virtual Lobby Opens for All Attendees**
- 12:00 pm** **FIRESIDE CHAT | A Conversation with an European Pension Investor**
- **Geraldine Leegwater**, Chief Investment Management, PGGM
 - Interviewed by **José E. Feliciano**, Co-Founder and Managing Partner, Clearlake Capital and Board Co-Chair, Robert F. Kennedy Human Rights
- 12:35 pm** **Beyond Diversity: Creating Inclusive Workplaces in Private Equity**
- **Errin Haines**, Founding Member and Editor-at-Large, The 19th; MSNBC Contributor (Moderator)
 - **Ursula Burns**, Senior Advisor, Teneo; Retired Chairman and Chief Executive Officer, VEON and Xerox Corporation
 - **Jeffrey M. Siminoff**, Senior Vice President, Robert F. Kennedy Human Rights
 - **Nikki Lewis Simon**, Shareholder and Chief Diversity, Equity and Inclusion Officer, Greenberg Traurig
 - **Gabrielle Sulzberger**, Strategic Advisor, Two Sigma Impact

AGENDA

- 1:20 pm** **FIRESIDE CHAT | The Anti-Fragile VC: Why Fixing Broken, Essential Industries is the Biggest Opportunity in Tech Investing**
- **Trey Hart**, Managing Director, Private Equity Group, 50 South Capital (Moderator)
 - **Jim Kim**, General Partner, Builders VC
- 1:50 pm** **NETWORKING SESSION**
- 2:10 pm** **CONCURRENT SESSIONS**
- SESSION A | Operationalizing the “S” While Driving Value Growth**
- **Linda Zeilina**, Founder and Chief Executive Officer, International Sustainable Finance Center (Moderator)
 - **Clare Connellan**, Partner, White & Case
 - **Elena Espinoza**, Head of Social Issues, Principles for Responsible Investment
 - **David Harris**, Group Head of Sustainable Business, LSEG
 - **Tamara Sells**, Associate Investment Manager, CalPERS
 - **Rekha Vaitla**, Deputy Director of Corporate Governance and Sustainable Investments, Office of the Illinois State Treasurer
- SESSION B | The Real Estate Investing Market: Current Environment and Future Outlook**
- **Jonathan A. Schein**, Chief Executive Officer and Executive Director, Real Estate Limited Partner Institute (Moderator)
 - **W. Jeffrey Beckham**, Executive Vice President, Head of Portfolio Management and Co-Portfolio Manager, GID Mainstay Fund
 - **J.D. Sitton**, Senior Managing Director, Capital Markets–Americas, Hines
 - **Peter Viehe-Naess**, Director, Real Estate and Infrastructure Investments, Nippon Life Global Investors Americas
 - **Allison T. Yager**, Partner, Global Leader–Real Estate, Mercer
- 2:55 pm** **FIRESIDE CHAT | Powering a Virtual World: Investing in Software Technology Today**
- **J. David Enriquez**, Head of Private Equity, Bureau of Asset Management, New York City Retirement Systems (Moderator)
 - **Behdad Eghbali**, Co-Founder and Managing Partner, Clearlake Capital
 - **Deven Parekh**, Managing Director, Insight Partners
 - **Gero Wittemann**, Partner, Hg
- 3:45 pm** **Closing Remarks**
- **Sancia Dalley**, Senior Vice President, Strategic Partnerships and Investor Engagement, Robert F. Kennedy Human Rights
- 3:50 pm** **NETWORKING SESSION**
- 5:15 pm** **CONFERENCE CONCLUDES**

SESSION DESCRIPTIONS

MARCH 16

WELCOME REMARKS

12:00 PM EDT



Sancia Dalley, Senior Vice President, Strategic Partnerships and Investor Engagement, Robert F. Kennedy Human Rights

Sancia Dalley leads business development, engagement with the corporate sector as well as the programming for the network of more than 120 investors and fiduciaries within Compass. With over a decade of experience working with the private sector, Dalley has advised Fortune 500 companies including Pfizer, Nike Inc., Scotiabank, Virgin Group, and BP on corporate social responsibility and health strategies, business case analysis, program design and evaluation.

Dalley has helped to broker multi-million dollar partnerships with companies such as Chevron Corporation, with whom she worked on their landmark investment of \$30 million to the Global Fund to Fight HIV/AIDS, TB and malaria in support of health programs in Africa, Asia and the U.S.; advised global and national private sector coalitions on malaria and HIV/AIDS, and supported a number of leading advocacy initiatives with the business community including the Emmy-Award Winning “Get Tested” public service announcement with Kaiser Family Foundation and the National Basketball Association. Dalley holds a B.A. in international relations and French from Wesleyan College. She is the founder and CEO of Redlake Global, a boutique advisory firm that supports private sector leaders and individuals in their global health and sits on the boards of the Center for Active Design in New York and the Boardwalk Village Foundation in Jamaica.

FIRESIDE CHAT | The First Year of the Biden-Harris Administration: What Can Investors Expect on Policy and Social Issues

12:05 PM EDT
MARCH 16

A conversation with investors on the new Biden-Harris Administration on learning the new language and tone to engage with this administration, what the first set of executive orders mean for business, how to lead with dignity, and build back better.



Yamiche Alcindor, White House Correspondent, PBS NewsHour (MODERATOR)

Yamiche Alcindor has been the White House correspondent for PBS NewsHour since January 2018. She often tells stories about the intersection of race and politics as well as fatal police encounters. Alcindor is also a contributor for NBC News and MSNBC, appearing on shows including Morning Joe, Andrea Mitchell Reports, the Rachel Maddow Show, and Meet the Press with Chuck Todd.

Previously, Alcindor worked as a national political reporter for the New York Times, where she covered the presidential campaigns of Donald Trump and Sen. Bernie Sanders as well as Congress. She also wrote about the impact of President Donald Trump's policies on working-class people and people of color. Before joining the Times, she was a national breaking news reporter for USA Today and traveled across the country to cover stories including the school shooting in Newtown, Conn., the death of Trayvon Martin in Sanford, Fla., and the police-related protests in Ferguson, Mo., and Baltimore. Alcindor's overall goal is to be a civil rights journalist, and she was inspired by the late PBS NewsHour anchor Gwen Ifill.

In 2020, Alcindor received RTDNA's John F. Hogan Distinguished Service Award, the International Women's Media Foundation's Gwen Ifill Award, and the White House Correspondents' Association's Aldo Beckman Award for Overall Excellence in White House Coverage. She is a member of the National Association of Black Journalists (NABJ) and was named the organization's 2020 Journalist of the Year. Alcindor has also been honored with the Gwen Ifill Next Generation Award by Simmons University and the NextGen Leader Award by the Georgetown Entertainment and Media Alliance. In 2017, she won an award in a tribute to Ifill during Syracuse University's Toner Prize ceremony. NABJ also named Alcindor its Emerging Journalist of the Year in 2013.

Alcindor earned a master's degree in broadcast news and documentary filmmaking from New York University and a bachelor's in English, government, and African American studies from Georgetown University. A native of Miami, Alcindor is married to a fellow journalist and is the daughter of Haitian immigrants who met while attending Boston College.



Afsaneh Mashayekhi Beschloss, Founder and Chief Executive Officer, RockCreek

Afsaneh Mashayekhi Beschloss is an economist and founder and CEO of RockCreek. Previously, she was managing director and partner at the Carlyle Group, was treasurer and chief investment officer of the World Bank, and worked at J.P. Morgan. Beschloss has advised governments, central banks, and regulatory agencies on global public policy and financial policy as well as energy. She led the World Bank's energy investments and policy work on areas including sustainable investing, renewable energy, power, and infrastructure to reduce carbon emissions, and she founded its Natural Gas Group.

Beschloss is chair of the PBS Foundation and a trustee of the Institute for Advanced Study, the World Resources Institute, the Council on Foreign Relations, the Global Alliance for Vaccines, and Georgetown University. The Carnegie Corporation included her on its 2020 Great Immigrants, Great Americans list, she has received the Institutional Investor Lifetime Achievement Award and the Robert F. Kennedy Human Rights Ripple of Hope Award, and she has been listed among the

most powerful women in banking by American Banker. She is the co-author of *The Economics of Natural Gas* and the author of numerous journal articles on energy, finance, renewable energy, and impact investing. Beschloss holds an M.Phil. with honors in economics from the University of Oxford, where she taught international trade and economic development.



Michael Frerichs, Treasurer, State of Illinois

Michael Frerichs was first elected Illinois State Treasurer in November 2014 and re-elected in November 2018. In Illinois, the Treasurer's Office invests money on behalf of the state and local units of government and actively manages approximately \$35 billion.

Since taking office, Frerichs has made sure Illinois residents get what is owed to them through the Unclaimed Property Program. A record-breaking \$239 million in forgotten cash and stock was returned to individuals, employers, and nonprofits in Fiscal Year 2019. By making changes to the Bright Start and Bright Directions college savings programs, Frerichs has lowered fees and provided more investment options, making college more affordable for families. Under his leadership, Illinois launched the Illinois Achieving a Better Life Experience (ABLE) program, a savings and investment program that allows people with disabilities and their families to save and build financial wellness without risking federal benefits. In November 2018 Frerichs launched Secure Choice, a retirement savings program that will benefit an estimated 1.2 million private-sector workers in Illinois who do not have access to an employer-sponsored retirement plan.

Frerichs is from the farming community of Gifford, Ill. He graduated from Yale University and spent two years in Taiwan, where he taught English to young students and learned to speak Chinese before returning to Champaign County and launching his own technology business. He was elected to the Champaign County Board and elected Champaign County Auditor and served as a volunteer firefighter.

In 2006, Frerichs was elected to the Illinois State Senate, representing east-central Illinois. As chairman of the Higher Education Committee, he championed efforts to make college more affordable. He also served as chairman of the Agriculture and Conservation Committee and became a certified public finance officer. Frerichs currently serves on the executive committee of the National Association of State Treasurers; the National Association of State Auditors, Comptrollers, and Treasurers; the College Savings Plan Network; and the National Association of Unclaimed Property Administrators. He also serves as a trustee on the Illinois State Board of Investment. Frerichs resides in Champaign, Ill., with his young daughter, Ella.

The Healthcare Market: Investment Opportunities, Vaccines, and the Inequities of a Pandemic

12:50 PM EDT
MARCH 16

After one year of the COVID-19 pandemic, several working vaccines have been successfully brought to market, and are making it into the arms of millions around the world. Telehealth and telemedicine services saw a boom in the past year as providers and patients alike adapted to the new normal of remote engagement. Yet, M&A deal value declined by 37% in 2020 representing roughly 9% in overall deal volume in the industry compared to 2019. What can the industry expect with the new Biden Administration in terms of new regulatory policies?

Are private equity investors expecting deal volume to accelerate in 2021? How much of this will vary by sub-sectors e.g. biotech/pharma, teleservices etc? What opportunistic acquisitions are in the market for buyers now? Will the addition of new vaccine candidates be favorable for the market? How are investors addressing the health disparity and access issues that COVID-19 and vaccine roll-out are amplifying?



The Honorable Eric D. Hargan, Former US Deputy Secretary, Health and Human Services (MODERATOR)

Eric D. Hargan served as the deputy secretary of the U.S. Department of Health and Human Services (HHS) from 2017 to 2021 and as acting secretary for several months in 2017–2018. As deputy secretary of HHS, the largest department in the federal government, he oversaw the development and approval of all HHS, CMS, and FDA regulations and significant guidances as well as the department's day-to-day operations and management, and he led policy and strategy development. From 2003 to 2007, Hargan also served at HHS in a variety of capacities, including holding the position of acting deputy secretary.

Hargan served on the board of Operation Warp Speed, starting in spring 2020, helping to develop the project and coordinate HHS agencies. He worked with multiple public and private sector entities to facilitate efforts across the entire endeavor, for both vaccines and therapeutics. In March 2020, he launched the \$175 billion Provider Relief Fund, overseeing it until July 2020. He convened the HHS-White House team, and coordinated with the Health Resources and Services Administration and the United Health Group on the fund's operations, resulting in \$26 billion in relief sent to providers less than two weeks after the passage of the CARES Act.

In the area of regulatory reform, Hargan coordinated the comprehensive HHS telehealth response in 2020, including simultaneous regulatory reforms among three HHS agencies, enabling telehealth to grow by a factor of over a thousand in a few months. From 2017 to 2021, he initiated, sponsored, and led four agencies in the Regulatory Sprint to Coordinated Care, a four-agency project to reform regulations to enable better coordinated care.

In the area of innovation, he coordinated and led the successful launch of the interoperability rule by the HHS Office of the National Coordinator of Health Information Technology. From 2018 to 2019, he initiated, sponsored, and led the Innovation and Investment Summit, which brought together top investors and innovators in U.S. health care with federal health care leadership.

In the area of public health, Hargan convened and led the federal Quality Summit in 2019 with national leaders on health care quality from for-profit and nonprofit sectors. He prepared the National Health Quality Roadmap, which spelled out the pathway for further reform, announced in May 2020, and he laid the groundwork for finalizing roadmap reforms. He led a rural health initiative within HHS in 2019–2020 that involved reforms in telehealth expansion; rural broadband; a new reimbursement model for rural health; and many other initiatives across the department. He also served as commissioner on the WHO's High-Level Commission on Noncommunicable Diseases from 2018 to 2019.

Between tours of duty at HHS, Hargan was a law partner in health care regulation, mergers and acquisitions, corporate finance, and government relations. He taught at Loyola University Chicago School of Law, focusing on administrative law and health care regulations. Hargan received his B.A. cum laude from Harvard University and his J.D. from Columbia University Law School, where he was senior editor of the Columbia Law Review. He lives in Virginia with his wife and their two sons.



Adam Blumenthal, Founder and Managing Partner, Blue Wolf Capital Partners

Adam Blumenthal was the founding partner of Blue Wolf in 2005. From 2011 through 2017, he was a trustee and chair of the investment committee of the UAW Retiree Medical Benefits Trust, a \$60 billion fund that pays retiree health benefits for over 700,000 UAW employees of Ford, Chrysler, and General Motors. From 2002 to 2005, Blumenthal served as first deputy comptroller and chief financial officer for New York City Comptroller William C. Thompson, Jr. In this capacity, he oversaw the capital markets activities of the comptroller's office, including overseeing the city's debt issuance; managing the assets of the New York City Retirement Systems; and issuing budget, financial, and economic analyses. During his tenure, the city's pension assets increased from \$65 billion to \$85 billion, primarily as a result of strong investment gains.

Over the course of three decades, Blumenthal has served on the board of directors of numerous middle-market companies. Currently, he serves on the board of directors of Finch Paper, Twin Rivers Paper Company, Elara Caring, StateServ Holdings, and Fox Rehabilitation. He has been a trustee and chairman of the investment committee of the Nathan Cummings Foundation and the Community Service Society of New York. Blumenthal received a B.A., magna cum laude, from Harvard College. He earned an MBA from the Yale School of Management and served on its board of advisors from 2004 to 2007; in 2009 the school named him a Donaldson Fellow. He is currently a member of the advisory board for Yale's International Center for Finance.



Matt Holt, Managing Director and President, Private Equity, New Mountain Capital

Matthew Holt, managing director and president, private equity, joined New Mountain in August 2001. He focuses on growth buyouts across a range of industries including health care products, health technology, materials, and infrastructure. He serves as the lead director or chairman of Avantor, Ciox Health, Signify Health, Cytel, emids, Ontario Systems, Revint Solutions, W20, and Zep. He also serves as a director of Aceto, Topix Pharmaceuticals, Gelest HealthComp, Tinituit, and TRC Companies, and he previously served as lead director of Bellerophon Therapeutics, Convey Health Solutions, Equian, LLC, Ikaria, Inc., and NuSil Technology LLC, and as director of MailSouth. Holt holds an A.B. with honors in English and American literature and language from Harvard College.



William "Bill" Lee, Senior Vice President and Chief Investment Officer, New York-Presbyterian Hospital

William (Bill) Lee is senior vice president and chief investment officer for New York-Presbyterian Hospital (NYP), overseeing its \$9.5 billion investment program including endowment, retirement, and current assets, and managing the Office of Investments team responsible for all of NYP's investment assets. Previously, Lee was chief investment officer and vice president of foundation and pensions investments at Kaiser Permanente. Beginning in 2005, he served as chair of Kaiser Permanente Retirement Plans Investment Committee and the Kaiser Foundation Investment Committee, overseeing approximately \$78 billion in defined contribution, pension, and foundation assets.

Lee managed interest rate and foreign exchange risk for Bank of America's global proprietary desks in the 1980s, then left for eight years to work as a police detective. In 1994, he returned to Bank of America, where he helped develop equity and fixed income risk models before becoming senior vice president and chief investment officer for Bank of America's retirement plan investments. Lee served as chief investment officer for the Levi Strauss Foundation and Red Tab Foundation assets as well as the Levi Strauss global pension plans. He is a chartered financial analyst (CFA) and a 2010 graduate of Harvard Business School's Executive Leadership Program.

NETWORKING SESSION

1:35 PM EDT

Investing in the World We Want to Live In - Data, Society and Impact

2:00 PM EDT
MARCH 16

As the impact of the COVID-19 pandemic and calls for racial justice have grown over the past year, the spotlight continues to shine on the “S” in ESG. How are investors integrating this into their risk mitigation strategies? What new screens are fund managers applying to prospective and current portfolio companies? What data do we have and what data do we need? Are we measuring efforts over effects? How can collaboration between different stakeholders help move us towards measuring impact? And what is the role of the global media in informing businesses and empowering consumers?

Read more: [Labor Department’s Rule on ESG Investing Creates A New Hurdle for Retirees](#)



Felix Salmon, Chief Financial Correspondent, Axios (MODERATOR)

Felix Salmon, the chief financial correspondent at Axios, is an award-winning writer, editor, and podcaster. The host of the weekly Slate Money podcast, he was previously the finance blogger at Roubini Global Economics, Condé Nast Portfolio, and Reuters.



David Craig, Group Head, Data and Analytics and Chief Executive Officer, Refinitiv London Stock Exchange Group (LSEG)

David Craig joined LSEG on completion of the Refinitiv-LSEG combination in January 2021 and is group head of Data and Analytics and CEO of Refinitiv. Craig was the founding CEO and board member of Refinitiv starting in 2018, following senior roles at Thomson Reuters, including president of the Financial and Risk business division, chief strategy officer, founder and CEO of the Risk and Compliance business, and chief strategy officer of Reuters plc.

Throughout his career, Craig has been a passionate advocate for the power of data, technology, and innovation to create a more trusted, sustainable, and effective financial community. He is a frequent speaker at events and key institutional forums globally on sustainability, technology, data, and global markets. Craig is a member of the Banking Governors Board, the steering group of the World Economic Forum’s (WEF) Digital Disruption Innovation Group, the advisory council of TheCityUK, and the China Market Advisory Group. He also chairs the UK-India Financial Partnership for TheCityUK and HMT. He is deeply involved in charitable and professional causes working to advance sustainable business, including as chair of the Urban Synergy corporate advisory board and as a member of the corporate board and a trustee of the Great Ormond Street Hospital charity.

Earlier in his career, Craig was a partner at McKinsey and Co., focusing on technology, financial services, and media, and he started his career as a software developer. Craig holds a degree in electronic and communication engineering from Bristol University and spent three years training as a cadet pilot for the Royal Air Force. He has lived and worked in the U.S., Sweden, and the Netherlands, and he is now based in London. In his spare time, he enjoys sailing, ski touring, and coaching youth rugby at London Wasps.



José E. Feliciano, Co-Founder and Managing Partner, Clearlake Capital; Board Co-Chair, Robert F. Kennedy Human Rights

José E. Feliciano is co-founder and managing partner of Clearlake Capital Group, L.P., a leading private investment firm founded in 2006. In addition to his investing responsibilities, Feliciano is responsible for the day-to-day management of the firm. With a sector-focused approach, the firm seeks to partner with world-class management teams by providing patient, long-term capital to dynamic businesses that can benefit from Clearlake’s operational improvement approach, O.P.S.® The firm’s core target sectors are software and technology-enabled services; industrials and

energy; and consumer. Clearlake has managed approximately \$30 billion of institutional capital since inception and its senior investment principals have led or co-led over 300 investments.

Prior to co-founding Clearlake, Feliciano was a partner and member of the investment committee at Tennenbaum Capital Partners, chief financial officer of govWorks, Inc., and started his career in investment banking in the Mergers & Acquisitions and Corporate Finance Groups at Goldman, Sachs & Co.

Feliciano currently serves on the Board of Directors of several Clearlake portfolio companies. He also co-founded the SUPERCHARGED Initiative, a philanthropic grantmaking and impact investment organization, in 2014 with his wife, Kwanza Jones. The Initiative invests in both nonprofits and for-profit ventures that are compatible with its goal to make a lasting impact across four key priorities: Education, Entrepreneurship, Equal Opportunity and Empowerment. In addition, Feliciano serves on the board of directors of the Robert Toigo Foundation, a non-profit organization dedicated to fostering the career advancement and increased leadership of underrepresented talent, and Robert F. Kennedy Human Rights, a foundation that works to support individuals, alliances, and organizations dedicated to improving human rights around the world. Feliciano graduated with High Honors from Princeton University, where he received a B.S. in mechanical & aerospace engineering. He received his MBA from the Graduate School of business at Stanford University.



Livia Firth, Founder and Creative Director, Eco-Age

Livia Firth is co-founder and creative director of Eco-Age, the leading consulting and creative agency specializing in integrated sustainability, and founder of the Green Carpet Challenge. Firth is known for creating compelling frameworks and campaigns for environmental and social justice with global reach. A founding member of Annie Lennox's women's advocacy NGO The Circle, she recently presented the findings of the group's living wage report to the EU Commission. Firth is a UN Leader of Change and has also been recognized with the UN Fashion 4 Development Award and the Rainforest Alliance Award for Outstanding Achievement in Sustainability. She is a powerful communicator on progressive change and has given keynote addresses, including to the World Economic Forum.

With Eco-Age, Firth also produced the award-winning documentary *The True Cost*, directed by Andrew Morgan, and the miniseries *Fashionscapes*. She also co-wrote and produced the Green Carpet Fashion Awards 2020, the first event in the world to be filmed using augmented reality, hologram technology, and special effects.



Antonio Zappulla, Chief Executive Officer, Thomson Reuters Foundation

Antonio Zappulla is CEO of the Thomson Reuters Foundation, the corporate foundation of the global news and information services company. Through news, media development, free legal assistance, and convening initiatives, the Thomson Reuters Foundation combines its unique services to advance media freedom, raise awareness of human rights issues, and foster more inclusive economies.

Zappulla is the founder of Openly, the world's first platform dedicated to fair, accurate, and impartial coverage of LGBT+ stories with global distribution through the Reuters wire. In 2018, he ranked first in the OUTstanding list of third sector LGBT+ executives published by the Financial Times. In 2017 he was named a European Young Leader by Friends of Europe, and in 2016 he was awarded the Talented Young Italians Award by the Italian Chamber of Commerce. Zappulla is a One Young World Ambassador and a World Economic Forum agenda contributor. He sits on the boards of Open for Business and the International News Safety Institute (INSI) and is a member of the steering committee of the Reuters Institute for the Study of Journalism at the University of Oxford. Prior to his present role, Zappulla was director of communications and COO at the Thomson Reuters Foundation and executive producer at Bloomberg Television in charge of news, factual programming, and documentaries for Europe, the Middle East, and Africa, developing award-winning TV series.

FIRESIDE CHAT | The Path to Pension Efficiency Today

2:55 PM EDT
MARCH 16

Pensions are facing more pressures than ever before from a variety of stakeholders to cut costs, increase funding ratios, become better at managing risks and select the right money managers to work with. Luckily, all these competing demands have one thing in common -- efficiency. Join our closing fireside chat with pension managers to discuss how they're tackling these challenges and figuring out ways to deliver better performance for their members.



Hilton Romanski, Partner and Senior Managing Director, Siris Capital

Hilton Romanski brings over 25 years of technology industry and investment experience to Siris. He founded and leads the Siris West Coast team, based in Palo Alto, Calif., and serves on the firm's operating and investment committees. He was also a board director of Pulse Secure and led Siris' sale of the company to Ivanti in 2020.

Prior to joining Siris in 2018, Romanski was senior vice president and chief strategy officer of Cisco. He was a member of the company's executive leadership team, reporting to CEO Chuck Robbins, and was responsible for executing Cisco's strategy through acquisitions, global alliances, venture investments, and divestitures.

During his 18 years at Cisco, Romanski served in a number of corporate and operating roles and helped the company develop leadership in critical markets such as security, networking, and enterprise software through strategic M&A and investments. He led over \$30 billion in acquisitions across over 60 transactions and also helped focus the company's portfolio through strategic divestitures.

Romanski managed Cisco's \$2 billion venture investment portfolio, driving returns and market leadership through positions in VMware, VeloCloud, Cohesity, Mist Systems, PubNub, MuleSoft, and Puppet Labs, among others. He was the architect of Cisco's global alliance with Apple and spearheaded the company's investment entry into emerging markets, including China, Eastern Europe, India, Latin America, and Russia. He was chairman of Cisco's China board as well as a member of the company's investment committee.

Romanski started his career at J.P. Morgan, where he co-founded the firm's West Coast telecom practice based in San Francisco. Prior to that, he served in J.P. Morgan's Natural Resources and Power M&A Group, based in New York. Romanski earned his MBA from the Stanford Graduate School of Business and his B.A. from Columbia College.

Jonathan Grabel, Chief Investment Officer, Los Angeles County Employees Retirement Association (LACERA)



Jonathan Grabel is Chief Investment Officer of the Los Angeles County Employees Retirement Association (LACERA), where he manages the \$66 billion defined benefit pension fund on behalf of LACERA's 165,000 members, including close to 62,000 benefit recipients. He also oversees the investments for the LACERA-administered \$1.9 billion health care benefits program. Prior to LACERA, Grabel was CIO of the New Mexico Public Employees Retirement Association (PERA), where he oversaw the investments for the agency's \$15 billion defined benefit fund and the associated PERA SmartSave deferred compensation plan. Previously, he was a general partner at Baker Capital, a private equity firm focused on growth-stage investments in technology, networking industries, and digital communications. Earlier in his career, he was an investment banker and licensed CPA (inactive). Grabel received his B.S. in economics from the Wharton School of the University of Pennsylvania and his MBA from the University of Chicago Booth School of Business.

Why Emerging Manager Programs Still Matter: A Conversation with Leaders in Asset Management and Public Pension

3:40 PM EDT
MARCH 16

Allocation to firms with 51 percent or greater ownership by minorities, women, or disabled persons is becoming a central theme in the call to action for investors concerned about racial equity in the wake of last year's Black Lives Matter protests. That makes programs aimed at identifying promising managers in these under-represented groups an even more-important tool in pensions' toolbox for promoting equity and responding to today's calls for racial justice. Join this conversation on emerging manager programs to learn how they can be implemented effectively and how they can help organizations do well by doing good.

Read more: [Access and Opportunity: Investors Must Wage a Strategic Fight for Racial and Economic Justice](#)



Marvin S. Rosen, Shareholder, Greenberg Traurig; Board Member, Robert F. Kennedy Human Rights (MODERATOR)

Marvin Rosen is a shareholder in the global law firm of Greenberg Traurig LLP, where he specializes in advising Private Equity Funds. Rosen serves on the board of directors of the Robert F. Kennedy Human Rights, the Howard Gilman Foundation, and the Roundabout Theatre Group. Formerly he served on the board of directors for Nikko Asset Management of the Americas and as a director of Terremark Worldwide Inc., until it was sold to Verizon. Rosen served as the budget and finance chairman for the Summit of the Americas, was the chairman of the Florida Housing Finance Agency, and was the finance chairman for the Democratic National Committee under President Clinton.



Lindsay Creedon, Partner, Head of Diversity and Inclusion, StepStone Group

Lindsay Creedon is a partner and the head of diversity and inclusion at the StepStone Group, a global private markets investment firm focused on providing customized investment solutions and advisory and data services to some of the most sophisticated investors in the world. With more than \$333 billion of private markets allocations, including over \$80 billion of assets under management as of December 31, 2020, StepStone covers the spectrum of opportunities in private markets across the globe. Creedon is member of the private equity team, co-leading the firm's private equity co-investment practice, and she is involved in the firm's various management activities.

Prior to joining StepStone in 2010, Creedon was a vice president at Citi Private Equity, a \$10 billion equity co-investment, mezzanine, and fund of private equity funds business. Before that, she was a director in the corporate strategy and business development group at the Walt Disney Company and was a director of business development at NBC Universal. Creedon graduated cum laude with a B.A. from Georgetown University.



A.J. Hernandez, Director of Emerging Managers, New York State Common Retirement Fund

Anyori "A.J." Hernandez is the director of emerging managers for the New York State Common Retirement Fund. Under the direction of State Comptroller Thomas P. DiNapoli and Anastasia Titarchuk, CIO and deputy comptroller, the emerging manager team manages in excess of \$7 billion in commitments to over 120 emerging firms across all asset classes. The program's goal is to generate attractive risk-adjusted returns and participate in the growth of next-generation talent, alongside a preference for firms that aspire to strong governance standards as well as diverse and inclusive practices.

Previously, Hernandez was the co-founder and managing partner of Yorkstone Management PR, a boutique capital financing firm based in New York and Puerto Rico focused on funding working capital to small and medium-sized businesses.

Prior to founding Yorkstone, he was a director at Barclays Wealth and Investment Management, Americas, where he specialized in client development and provided his clients with new investments that achieved high risk-adjusted investment returns for individual securities, investment funds, and portfolios.

Prior to Barclays, Hernandez was a senior investment officer and director for the New York State Common Retirement Fund, Office of the State Comptroller. He directed several core investment portfolios, restructured and reorganized asset classes, and managed a large emerging manager multi-asset investment portfolio with a value of over \$4.5 billion in equity. Additionally, he created several seeding programs that included a real estate fund-of-funds, private equity fund-of-one, and an absolute hedge fund seed platform. Hernandez holds a master's degree in real estate finance and investment and a bachelor of arts degree from New York University.



Ray Whiteman, Co-Founder and Managing Partner, Stellex Capital Management

Ray Whiteman is a managing partner and co-founder of Stellex Capital Management. Before joining Stellex, he was a partner of the Carlyle Group and a managing director and co-head of Carlyle Strategic Partners. Prior to joining Carlyle in May 1996, Whiteman was a vice president and group head in the leveraged finance department of Crédit Lyonnais. He has also held several positions at Citicorp and the Chase Manhattan Bank, N.A.

Whiteman is currently on the board of Titan Acquisition Holdings and Cisco Investment Holdings, and he was formerly chairman of MHI Holdings and Morbark Holdings. In the past, he served on the board of Diversified Machine, RPK Capital Partners, Metaldyne, Stellex Aerostructures, Brintons Carpets Limited, DPG Aerospace, and Service King, as well as the investment committee of RLJ Equity Partners, an affiliate of the Carlyle Group and Robert L. Johnson. Previously, Whiteman served on the boards of numerous Carlyle portfolio companies. He has also been a member of the executive committee of the National Symphony Orchestra of the John F. Kennedy Center for the Performing Arts and the Smithsonian's National Museum of African Art, and a member of the board of the Prince George's Community College Foundation. Whiteman received his B.A. in political science from Williams College, where he was a Lehman Scholar, and his MBA from New York University Stern School of Business.



Everything we do at Robert F. Kennedy Human Rights starts with Robert Kennedy's legacy. Whether through incorporating his words, actions or image, or being influenced by his values, inspiration or imagination, **his legacy intersects at almost all points of our efforts.**

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SESSION DESCRIPTIONS

MARCH 17

FIRESIDE CHAT | A Conversation With an European Pension Investor

12:00 PM EDT
MARCH 17

In this exciting fireside chat guided by José E. Feliciano, Geraldine Leegwater, Chief Investment Management of the second largest pension investor in the Netherlands with a strong focus on providing pensions for Dutch healthcare workers, will speak about what she hopes to accomplish at PGGM since taking on this role last year, PGGM's approach to ESG investing, and recommendations for U.S. pension funds that want to invest in funds that drive environmental, social and economic inclusion.



Geraldine Leegwater, Chief Investment Management, PGGM

Geraldine Leegwater is chief investment management and a member of the executive committee of the Dutch pension fund service provider PGGM. Previously, she was a member of the board of trustees and chair of the investment committee of ABP.

Between 2004 and 2018, Leegwater was first the chief investment officer of ABN AMRO Pensioenfond and later CEO of ABN AMRO Pensioenfond. She also served on the supervisory investment committee of the Dutch Central Bank, and she is an academic director for the executive education on pension and investment management at the ESAA/Erasmus University.

In the past, Leegwater was a member of the investment committees of DSM Pension Fund, Atos Origin Pension Fund, and PWRI Pension Fund, was on the supervisory board of UWV Pensionfund, and was a member of the investment committee and chair of the risk management committee of the Federation of the Dutch Pension Funds. Leegwater holds a degree in economics and Eastern European studies from the University of Groningen. She is a CFA and CAIA charter holder and an EFFAS certified ESG analyst.



Interviewed by José E. Feliciano, Co-Founder and Managing Partner, Clearlake Capital; Board Co-Chair, Robert F. Kennedy Human Rights

José E. Feliciano is co-founder and managing partner of Clearlake Capital Group, L.P., a leading private investment firm founded in 2006. In addition to his investing responsibilities, Feliciano is responsible for the day-to-day management of the firm. With a sector-focused approach, the firm seeks to partner with world-class management teams by providing patient, long-term capital to dynamic businesses that can benefit from Clearlake's operational improvement approach, O.P.S.® The firm's core target sectors are software and technology-enabled services; industrials and energy; and consumer. Clearlake has managed approximately \$30 billion of institutional capital since inception and its senior investment principals have led or co-led over 300 investments.

Prior to co-founding Clearlake, Feliciano was a partner and member of the investment committee at Tennenbaum Capital Partners, chief financial officer of govWorks, Inc., and started his career in investment banking in the Mergers & Acquisitions and Corporate Finance Groups at Goldman, Sachs & Co.

Feliciano currently serves on the Board of Directors of several Clearlake portfolio companies. He also co-founded the SUPERCHARGED Initiative, a philanthropic grantmaking and impact investment organization, in 2014 with his wife, Kwanza Jones. The Initiative invests in both nonprofits and for-profit ventures that are compatible with its goal to make a lasting impact across four key priorities: Education, Entrepreneurship, Equal Opportunity and Empowerment. In addition, Feliciano serves on the board of directors of the Robert Toigo Foundation, a non-profit organization dedicated to fostering the career advancement and increased leadership of underrepresented talent, and Robert F. Kennedy Human Rights, a foundation that works to support individuals, alliances, and organizations dedicated to improving human rights around the world. Feliciano graduated with High Honors from Princeton University, where he received a B.S. in mechanical & aerospace engineering. He received his MBA from the Graduate School of business at Stanford University.

Beyond Diversity: Creating Inclusive Workplaces in Private Equity

12:35 PM EDT
MARCH 17

With diversity, equity and inclusion taking on heightened urgency on the heels of the cataclysms of 2020 that remain ongoing (renewed racial reckoning, COVID-19, and nationwide divisions), many companies and other investment institutions published statements of solidarity, commitments of actions, and committed millions of dollars to tackling the inequities that continue to plague the business sector. However, the private equity industry continues to remain behind in growing the share of women and underrepresented minorities in its workplaces as well as building inclusive work cultures.

What can the industry learn from the actions that others in the corporate sector are taking? Will the statements and commitments But why now, will it last, and why do employees all too often feel that what's happening on the "home front" doesn't match public statements and external commitments? Join us for a stimulating discussion with speakers leading the charge to move beyond performative diversity initiatives and into more truly inclusive, dignity-centered workplaces.

Read more: [Standing Up Against the "Shut Up and Work" Workplace](#)



Errin Haines, Founding Member and Editor-at-Large, The 19th; MSNBC Contributor (MODERATOR)

Errin Haines is a founding member and editor at large at The 19th, a new nonprofit newsroom focused on the intersection of women, politics, and policy. Prior to joining and launching The 19th in January 2020, Haines was the national writer on race and ethnicity for the Associated Press, primarily covering race, politics, and culture in America.

Haines' expertise includes issues of race, gender, and politics. She was The 19th's first editorial hire and sole reporter at the start of the historic 2020 Democratic primary election cycle, and she is a frequent commentator for media outlets including MSNBC, CNN, NPR, and CBS, a guest on numerous podcasts, and a sought-after expert on issues of media diversity.

Haines is an award-winning journalist who has previously worked at the Washington Post, the Orlando Sentinel, and the Los Angeles Times, and she has contributed to outlets including the Guardian, Smithsonian Magazine, BuzzFeed, and POLITICO Magazine. She began her career at the Atlanta Daily World, the city's oldest continuously publishing Black newspaper, covering urban affairs.

In 2006, Haines was recognized as the National Association of Black Journalists' Emerging Journalist of the Year for her coverage of the legacy of the civil rights movement. In 2017, she was honored as the Philadelphia Association of Black Journalists' Print Journalist of the Year.

Haines is a native of Atlanta and grew up in neighboring Fairburn, Ga. She attended Oglethorpe University in Atlanta, where she majored in communications. She lives in Philadelphia with her dog, Ginger.



Ursula Burns, Senior Advisor, Teneo; Retired Chairman and Chief Executive Officer, VEON and Xerox Corporation

Ursula Burns has extensive international experience with large companies confronting technology change in their industries. In June 2017 she was appointed chair of VEON Ltd., and she was chair and CEO from December 2018 until June 2020.

Previously, Burns was the chair of the board of the Xerox Corporation from 2010 to 2017 and CEO from 2009 to 2016. She joined Xerox as an intern in 1980, and during her career there she held leadership posts spanning corporate services, manufacturing, and product development. She was named president in 2007 and CEO in 2009. As CEO, she helped the company transform from a global leader in document technology to the world's most diversified business services

company, serving enterprises and governments of all sizes. Shortly after being named CEO, she spearheaded the \$6.4 billion purchase of Affiliated Computer Services, the largest acquisition in Xerox history. In 2016, she led Xerox through a successful separation into two independent, publicly traded companies: Xerox Corporation, which is composed of the company's document technology and document outsourcing businesses, and Conduent Inc., a business process services company.

Burns regularly appears on Fortune's and Forbes' lists of the world's most powerful women. She is a board director of Uber, Nestlé, ExxonMobil, the Ford Foundation, Waystar, and IHS Towers and a senior advisor to Teneo. President Barack Obama appointed her to help lead the White House's national program on science, technology, engineering, and math (STEM) from 2009 to 2016, and she served as vice chair of the President's Export Council from 2010 to 2015 and chair from 2015 to 2016.

Burns provides leadership counsel to several community, educational, and nonprofit organizations including the Ford Foundation, the Massachusetts Institute of Technology (MIT) Corporation, the Cornell Tech Council board of overseers, the New York City Ballet, and the Mayo Clinic, among others. She is a member of the National Academy of Engineering, the Royal Academy of Engineering, and the American Academy of Arts and Sciences. She holds a master's degree in mechanical engineering from Columbia University and a bachelor's in mechanical engineering from the Polytechnic Institute of New York University.



Jeffrey M. Siminoff, Senior Vice President, Robert F. Kennedy Human Rights

Jeffrey Siminoff and his team focus on workplace dignity, connecting Robert F. Kennedy Human Rights' legacy human rights work and the values that underlie it to the workplace.

A former employment lawyer, Siminoff has extensive experience as an inclusion and diversity, workplace culture, and social impact leader and consultant. He has expanded the inclusion and diversity functions at top Silicon Valley companies, including Twitter and Apple, where he was recognized as one of 10 men making waves for women in tech. In these industry-leading positions, Siminoff and his team prioritized inclusion in key people processes, creating more intentionality and engagement around what an inclusive culture should be, establishing relationships across the tech industry and organizations that work with it, and thinking differently about how these companies showed up outside of the workplace, including as a business and community engagement matter.

Siminoff is based in San Francisco, having moved to the Bay Area to work for Apple. Prior to that, he led the global diversity and inclusion function at Morgan Stanley, a role he took on after leading the Pride (LGBTQ) Employee Resource Group, a transformative experience for him. In addition, Siminoff practiced employment law and litigation for Morgan Stanley in New York and London, and he led the legal division's diversity committee. He began his career in private law practice. Siminoff is a graduate of Duke University, where he studied public policy, and he received his law degree from Emory University. He is a pretty intense foodie, and a fan of travel and fitness.



Nikki Lewis Simon, Shareholder and Chief Diversity, Equity and Inclusion Officer, Greenberg Traurig

Nikki Lewis Simon, a commercial litigator with more than 21 years of experience, primarily serves as Greenberg Traurig's chief diversity, equity, and inclusion officer. In this capacity, she designs and guides strategic initiatives and programs to deepen firmwide diversity, equity, and inclusion efforts that further enrich client engagements and community investments.

Prior to assuming primary responsibilities on the business side of the firm, Simon represented her cross-industry clients' business objectives by protecting their capital—human, intellectual, and financial—against claims of wrongdoing in complex commercial and class action litigation.

Those matters have spanned a range of issues and claims, including discrimination, wrongful death, defamation, fraud and misrepresentation, professional negligence, and securities law violations.



Gabrielle Sulzberger, Strategic Advisor, Two Sigma Impact

Gabrielle Sulzberger serves as a strategic advisor to Two Sigma Impact, a New York-based private equity fund, and as a senior advisor to Teneo. She is a seasoned financial executive with over 30 years of experience assisting public and privately held companies in consumer products, retail, financial services, and life sciences. She has helped companies globally navigate disruption and transformation, execution of major M&A transactions, and engagement with shareholder activists. She has deep corporate governance experience through her work with corporate boards and is qualified to serve on audit committees as a financial expert.

Previously, Sulzberger was a general partner of Fontis Partners, a private equity fund based in Pasadena, Calif. Prior to joining Fontis, Sulzberger served as CFO of several public and private companies, including Gluecode Software, a venture-backed open source software company that was sold to IBM, and Crown Services, a California-based consolidation of commercial contractors.

Until August 2017, Sulzberger served as chair of the board of Whole Foods Market, where for 13 years she had previously served as chair of the company's audit committee. She currently serves on the boards of Eli Lilly; Mastercard; Brixmor Property Group, where she chairs the governance committee; Cerevel Therapeutics, where she chairs the audit committee; Acorns; Justworks; and True Food Kitchen, where she is chair of the board. Previously, Sulzberger served on the boards of Teva Pharmaceuticals, Stage Stores, IndyMac Bank, and Bright Horizons, and on numerous private company boards.

Sulzberger is a trustee of the Ford Foundation and chair of its governance committee. She also serves on the boards of the Metropolitan Museum of Art, Sesame Workshop, Time's Up, and Trinity Church Wall Street. She is a Henry Crown Fellow of the Aspen Institute. Sulzberger received her B.A. from the Princeton School of Public and International Affairs, her MBA from Harvard Business School, and her J.D. from Harvard Law School, and she is a member of the Massachusetts Bar.

The Anti-Fragile VC: Why Fixing Broken, Essential Industries is the Biggest Opportunity in Tech Investing

1:20 PM EDT
MARCH 17

Join us for an important fireside chat focused on small and medium-sized enterprises that are the engines of the essential services delivered in our economy, but are often overlooked.



Trey Hart, Managing Director, Private Equity Group, 50 South Capital (MODERATOR)

Trey Hart is a managing director in the private equity group for 50 South Capital. He focuses on sourcing, evaluating and executing primary fund investments and direct co-investments in all areas, with a focus on venture capital. He also participates in fundraising and portfolio management activities.

Prior to joining 50 South Capital, Hart was a vice president at Greenspring Associates, a \$2.3 billion global venture capital fund-of-funds based in Baltimore, Maryland. At Greenspring, he was active in all aspects of the investment process, including primary fund investments, secondary investments and direct co-investments. Additionally, he was active in the firm's global fundraising efforts. Prior to Greenspring Associates, Hart was an associate at Kirkland & Ellis LLP in the international law firm's private equity practice, where he worked with private equity firms in a fund-formation capacity.



Jim Kim, General Partner, Builders VC

Jim Kim is a founding member and general partner at Builders VC, where he partners with entrepreneurs, works on transformative ideas, and enjoys contrarian approaches in companies and fixing antiquated industries. Prior to Builders, Kim founded Formation 8 and served as its managing partner. He serves on the boards of Bolt Threads, Fieldwire, Bowery Valuation, and Notable Labs.

Kim was previously a general partner at Khosla Ventures and a senior partner at CMEA Capital. He began his career by founding GE's venture capital effort and leading investments in China High Speed Transmission and Comscore.

Kim received undergraduate degrees in computer science and electrical engineering and political science from MIT, where he also founded a venture-backed internet infrastructure startup with fellow Course 6 students. He holds a master's degree in quantitative data analysis from Columbia University and an MBA from Columbia Business School.

NETWORKING SESSION

1:50 PM EDT

CONCURRENT SESSION A | Operationalizing the “S” While Driving Value Growth

2:10 PM EDT
MARCH 17

Integrating the “S” in ESG into existing analytical processes and frameworks is an important step for investors looking to make decisions with environmental, social and governance factors in mind. How do you do that? In this session, data providers and ESG practitioners will do a deep dive into some of the myths surrounding “S” in ESG integration and provide actionable advice on how to do it. You will also get a deeper understanding of the ESG working group’s (made up of key stakeholders including the Thomson Reuters Foundation and the International Sustainable Finance Centre) research on the topic and its recent “Amplifying the ‘S’ in ESG” mythbuster paper.



Linda Zeilina, Founder and Chief Executive Officer, International Sustainable Finance Centre (MODERATOR)

Linda Zeilina is the founder and CEO of the International Sustainable Finance Centre, an apolitical think tank with a focus on sustainable finance topics, where she oversees its strategic development and its work on sustainable investing. Zeilina is a fellow at the Royal Society for the Encouragement of Arts, Manufactures and Commerce (RSA) in London, a policy leader fellow at the European University Institute’s School of Transnational Governance in Florence, and an advisory board member of RoSIF (Romanian Sustainable Investment and Finance Association).

Until recently, Zeilina was a Think Visegrad fellow at the EUROPEUM Institute for European Policy, providing expertise on sustainable finance in the EU. Previously, Zeilina was director at Re-Define, a boutique think tank and consultancy in London, where she focused on sustainable finance consulting and on approaches to ESG investing, working with large asset managers, pension funds, and banks. Part of her work dealt with the interaction between the megatrends of sustainability and digitalization.

Zeilina has published policy papers and reports on a variety of themes, and she is a frequent speaker and moderator at conferences. She has been honored as a New Security Leader by the Warsaw Security Forum and as a Future European Leader by the Prague European Summit. Zeilina is an alumna of the University of Glasgow, McGill University, and the London School of Economics.



Clare Connellan, Partner, White & Case

Clare Connellan is a partner based in White & Case’s London office. She works with the firm’s international arbitration and construction and engineering groups; leads its business and human rights (B&HR) interest group; and consults on issues such as the UN Guiding Principles on Business and Human Rights, supply chain risk assessments, due diligence, and the Modern Slavery Act, as well as B&HR trainings. She was recently recognized by Chambers and Partners as an up-and-coming global market leader in the field of business and human rights law. In her dispute advisory work, she advises companies, state entities, contractors, owners, and operators on the resolution of complex disputes, with a particular focus on disputes within the construction and engineering industry. Connellan also advises on alternative dispute resolution, including mediation, and is a CEDR-accredited mediator.



Elena Espinoza, Head of Social Issues, Principles for Responsible Investment

Elena Espinoza is the head of social issues for the Principles for Responsible Investment (PRI), where she oversees the development and implementation of the PRI’s strategy to support investors in understanding social issues and their incorporation into investment decision-making and active ownership. Espinoza has been with the PRI since 2018.

Prior to PRI, Espinoza worked as head of advisory services at Business in the Community (BITC), the oldest and largest business-led membership organization dedicated to responsible

business in the U.K. There, she managed BITC's work in corporate strategy development, impact measurement, and sustainability reporting and benchmarking. Espinoza holds an MBA from the University of Chicago Booth School of Business, a master of science degree in environment and sustainable development from University College London, and a bachelor of engineering degree in production engineering from Simón Bolívar University in Caracas, Venezuela.



David Harris, Group Head of Sustainable Business, London Stock Exchange Group

As head of sustainable business, David Harris is responsible for sustainability and green finance integration into services across the London Stock Exchange Group and for global engagement on sustainable investment for FTSE Russell.

Harris has worked in sustainable investment for 20 years in a variety of industry positions, including as a member of the European Commission High-Level Expert Group on Sustainable Finance. Harris has also been a vice-chair of the UK Sustainable Investment and Finance Association (UKSIF), serves on the advisory committees for the UN-backed PRI, Eurosif, and the City of London's Green Finance Initiative, and he chaired the UN Sustainable Stock Exchange's work to develop model ESG reporting guidance. He started his career with ADL's environment and risk practice and PwC's climate change consulting business.



Tamara Sells, Associate Investment Manager, CalPERS

Tamara Sells, associate investment manager, recently joined CalPERS Board Governance and Sustainability program, providing leadership and strategy for human capital management, the Responsible Contractor Program for Real Assets, and stakeholder engagements. In this role, she is responsible for researching and identifying opportunities to advance CalPERS' sustainable investment strategy across all asset classes. Prior to this role, she led the proxy voting and corporate engagement program for California State Teachers' Retirement System (CalSTRS). As a former global equity corporate governance analyst, she specialized in financial markets' regulatory and public policy efforts, and she was instrumental in developing the CalPERS business case and rulemaking petition for human capital management. Sells is an alumna of California State University, Sacramento and Lincoln Law School of Sacramento.



Rekha Vaitla, Deputy Director of Corporate Governance and Sustainable Investments, Office of the Illinois State Treasurer

Rekha Vaitla serves as the deputy director of corporate governance and sustainable investment at the Illinois State Treasurer's Office. In this capacity, she supports the investment stewardship, corporate engagement, and proxy voting activities on behalf of the treasurer, all of which advance the office's mission to protect and grow its \$35 billion investment portfolio and simultaneously help foster a business community more attentive to sustainable long-term growth.

Vaitla has been with the Illinois State Treasury since 2018. Prior to assuming responsibilities in investment stewardship, she guided strategic initiatives and programs to deepen diversity, equity, and inclusion efforts, including through the office's MWVD program, which seeks to increase the use of investment firms owned by minorities, women, military veterans, and people with disabilities. Vaitla is an alumna of Carnegie Mellon University, with an M.S. in public policy and management. Her prior experience at the local and federal levels of government has led her to focus her career on the intersection of impact, government, and business.

CONCURRENT SESSION B | The Real Estate Investing Market: Current Environment and Future Outlook

2:10 PM EDT
MARCH 17

Real estate markets have gone through upheaval over the last year as the fallout from the pandemic slashed rental incomes and forced many borrowers to seek forbearance on their mortgages. How will the market evolve in 2021 as the real estate industry picks up the pieces and lenders and borrowers figure out how to move forward? Join this panel of experts as they explore the new world of real estate investment in the post-COVID world.



Jonathan A. Schein, Chief Executive Officer and Executive Director, Real Estate Limited Partner Institute (MODERATOR)

Jonathan A. Schein is CEO and executive director of Real Estate Limited Partner Institute. Previously, he served as global head of business development and managing director of Institutional Real Estate, a media platform dedicated to the LP/GP relationship. In this capacity, he established and maintained relationships with institutional investors, pension funds, endowments, foundations, sovereign wealth funds, and high net worth family offices as well as fund managers and private equity firms in the Americas, Europe, and APAC. He also hosted, moderated, and facilitated over 100 of the company's meetings, conferences, roundtables, and video gatherings.

Schein has been acting as special advisor, real estate and infrastructure, for the RFK Compass Investor conference, connecting and recruiting private equity firms and institutional investors to attend the foundation's virtual and in-person events.

Prior to this, Schein was president and CEO of Real Estate Media, a Wasserstein & Co. Portfolio Company, where he founded GlobeSt.com, the commercial real estate industry's most successful online news and information website, and created RealShare Conferences, one of the industry's largest events/conferences groups. He was also president and CEO of ScheinMedia, where he launched a national green real estate news and information website and events platform as well as acquired a regional residential green real estate magazine.

Schein was the co-leader of the sustainability initiative at Penton Media, where he developed a platform and strategy encompassing Penton's 16 vertical B2B markets and managed relationships with companies ranging in size from small businesses to Fortune 500.

Schein is a member of Counselors of Real Estate as well as the Pension Real Estate Association and the Urban Land Institute, and he holds a B.B.A. in finance from George Washington University. He lives in New York City with his wife, Cynthia, who is a licensed acupuncturist, and their two dogs.



W. Jeffrey Beckham, Executive Vice President, Head of Portfolio Management and Co-Portfolio Manager, GID Mainstay Fund

W. Jeffrey Beckham is the executive vice president and head of portfolio management of GID and serves on its executive and investment committees. Beckham is responsible for managing the portfolio management team, overseeing GID's strategy and research functions, analyzing and developing new investment products, and managing investor relationships.

Previously, Beckham served as head of institutional investment management and fund manager at Jamestown for its flagship open-end vehicle, the Premier Property Fund, and he worked at Tishman Speyer in both New York and Atlanta, focusing primarily on acquisitions and development deals. Before Tishman Speyer, Beckham worked at Hines in London, focusing on development projects, and Morgan Stanley Real Estate in New York, London, and Tokyo, focusing on principal investing and advisory assignments.



J.D. Sitton, Senior Managing Director, Capital Markets–Americas, Hines

J.D. Sitton joined Hines in October 2019 as senior managing director, capital markets–Americas, and leads the firm’s capital-raising team in the Americas. He has over 25 years of experience working with institutional real estate investors in the U.S. and globally. Prior to Hines, he spent 15 years with J.P. Morgan Asset Management, where he served as head of real estate Americas client strategy, as a member of J.P. Morgan’s U.S. real estate management team, and as a member of J.P. Morgan Asset Management’s inaugural Global Investment Advisory Committee. He previously spent two years at Barings Real Estate Advisers and nine years at Equitable Real Estate/Lend Lease, where he began his career as a property and portfolio accountant. Sitton holds a B.S. in accounting from George Mason University, is a CFA charterholder, and holds the FINRA series 24, 7, and 63 securities licenses. He is a member of the CFA Institute, the NYSSA, and the Pension Real Estate Association.



Peter Viehe-Naess, Director, Real Estate and Infrastructure Investments, Nippon Life Global Investors Americas

Peter Viehe-Naess is a director and the portfolio manager for the U.S. and European real estate portfolio of Nippon Life Global Investors Americas, an investment subsidiary of Nippon Life Insurance Company in Japan. He is responsible for sourcing, monitoring, and overall portfolio management of investments in real estate funds and is also involved in the group’s infrastructure portfolio management. Prior to joining NLGIA in 2017, Viehe-Naess worked at Clarion Partners and Allianz Real Estate of America. Viehe-Naess holds a bachelor of arts degree from Colgate University and an MBA from Georgetown University. He is a member of the CFA Institute, the Pension Real Estate Association, AFIRE, and INREV.



Allison T. Yager, Partner, Global Leader–Real Estate, Mercer

Allison Yager is a partner in the Atlanta office of Mercer. She is the global leader of real estate within Mercer Alternatives, part of Mercer’s wealth business, and provides real estate consulting and strategic advice to Mercer’s clients and consultants across the globe. Additionally, she manages a team of consultants and researchers based in various regional offices. Her team provides strategic and investment direction in conjunction with constructing real estate portfolios for clients, conducts research on all real estate and real estate related strategies, monitors existing client real estate investments, and provides performance reporting for Mercer clients’ real estate strategies.

Yager has more than 30 years of financial and real estate investment experience. Before joining Mercer in 2003, she worked for 14 years for a real estate investment management firm. Prior to that, she worked for a public accounting firm for three years. Yager earned a bachelor of business administration degree in accounting from the University of Georgia. She is a certified public accountant and a member of the National Council of Real Estate Investment Fiduciaries (NCREIF), serves on several advisory boards, and is a former board member of the Pension Real Estate Association.

FIRESIDE CHAT | Powering a Virtual World: Investing in Software Technology Today

2:55 PM EDT
MARCH 17

2020 was a great year for tech investors, as the COVID-19 pandemic provided an unexpected catalyst that led to inexperienced exponential growth across the technology space. Technology alone accounted for 32% of private equity investment in 2020 according to Bain. Out of this unexpected growth, some subsets of the technology sector experienced higher levels of growth than others. Software technology in particular skyrocketed and outperformed many other sectors of the technology space during 2020 as software technologies such as cloud services became even more essential during the pandemic. Looking towards the future, technology will continue to intersect with crucial social issues such as equal access to education and healthcare technologies. As problems regarding access to these new tools arise, fund managers have a unique opportunity to ensure these new technologies are equitable and equitably distributed. Join our panelists as they make sense of the recent technology investment boom and provide insight on what we can expect from the sector in the future.



J. David Enriquez, Head of Private Equity, Bureau of Asset Management, Office of the New York City Retirement Systems (MODERATOR)

J. David Enriquez leads the investment activity of the bureau's private equity team in pursuing new initiatives, making recommendations, and managing the private equity portfolio of the five NYC Retirement Systems. Previously, Enriquez was an investment banking director at Rothschild and an investment banker at Merrill Lynch and Bear Stearns. He has worked on financing and strategic advisory transactions representing more than \$200 billion in transaction value. Enriquez began his transactional career as an associate at Sullivan & Cromwell in the mergers and acquisitions group. Enriquez holds an A.B., magna cum laude, Phi Beta Kappa, from Brown University, an M.P.A. from the Princeton School of Public and International Affairs, and a J.D. from Columbia Law School, where he was a Harlan Fiske Stone Scholar.



Behdad Eghbali, Co-Founder and Managing Partner, Clearlake Capital

Behdad Eghbali co-founded Clearlake Capital in 2006. Prior to that, he was a private equity investor at TPG, where he focused on buyouts, turnarounds, and other transformational investments in the business services, communications/media, and technology sectors. Behdad started his career in investment banking in the mergers and acquisitions group at Jefferies Broadview and in the technology group at Morgan Stanley & Co.

Eghbali currently serves on the board of directors of several Clearlake portfolio companies, including Appriss, the Better for You companies, DigiCert, Diligent, Dude Solutions, EagleView, Ivanti, Newfold Digital, nThrive, Perforce, Provation, Symplr, and Zywave. He also serves on the boards of other nonprofit corporations. Eghbali graduated with a B.S. in business administration with an emphasis on finance from the Haas School of Business at the University of California, Berkeley.



Deven Parekh, Managing Director, Insight Partners

Deven Parekh is a managing director at Insight Partners. He joined the firm in 2000 and manages investments in application software, data, and consumer internet businesses globally. He has actively worked with investments in Europe, Israel, China, India, Latin America, and Russia.

Parekh currently serves as board chair for Appriss and EveryAction, and he is a member of the board of directors for Within3, Calm, Fanatics, Diligent, 1stDibs, Checkout.com, Episerver, PDI, Community Brands, Vela (formerly SR Labs), Chrono24, Campaign Monitor, Wallapop, FloQast, and Inhabit IQ. He also represents the firm's interests in Automattic (WordPress), Skytap, and Zenefits.

Parekh was previously a principal at Berenson Minella & Company, a New York-based merchant banking firm, and prior to that, he was with the Blackstone Group, where he was involved in both M&A advisory and principal activities.

In 2016, Parekh was confirmed by the U.S. Senate to serve on the board of the Overseas Private Investment Corporation; it is now the new U.S. International Development Finance Corporation, and he was re-nominated to serve on its board in June 2020. From 2010 to 2012, Parekh served on the advisory board of the U.S. Export-Import Bank, the official export credit agent of the United States. He also previously served on the technical advisory council of the Federal Communications Commission, assisting with developing informed technology policies to support U.S. competitiveness and job creation in the global economy.

Parekh is on the board of overseers of NYU Langone and is a member of the board of the Tisch MS Research Center of New York. He has previously served on the board of trustees and the executive committee of the Ethical Culture Fieldston School, and he is chairman emeritus of the board of Publicolor, a New York City-based nonprofit that focuses on inner-city schools. In 2006, Parekh was named a Henry Crown Fellow of the Aspen Institute, which seeks to develop the next generation of community-spirited leaders. Parekh is a member of the Council on Foreign Relations and the Economic Club of New York. He received a B.S. in economics from the Wharton School at the University of Pennsylvania.



Gero Wittemann, Partner, Hg

Gero Wittemann is a partner, co-leading the US office having joined the firm in 2018. He sits on the boards of portfolio businesses Intelrad and Sovos, as well as The Hg Foundation, a non for profit organisation with a defined focus on education and technology. He was previously a managing director at CVC, where he spent the previous 15 years and was part of the team that started the firm's expansion into North America. Wittemann was involved with a number of CVC's investments, including Univar and Pilot Flying J. A fluent speaker of German, English and French, he graduated from WHU Otto Beisheim Graduate School of Management with a master's degree in business administration and also attended the Olin Graduate School of Business at Washington University and HEC Lausanne.

Closing Remarks

3:45 PM EDT
MARCH 17



Sancia Dalley, Senior Vice President, Strategic Partnerships and Investor Engagement, Robert F. Kennedy Human Rights

Sancia Dalley leads business development, engagement with the corporate sector as well as the programming for the network of more than 120 investors and fiduciaries within Compass. With over a decade of experience working with the private sector, Dalley has advised Fortune 500 companies including Pfizer, Nike Inc., Scotiabank, Virgin Group, and BP on corporate social responsibility and health strategies, business case analysis, program design and evaluation.

Dalley has helped to broker multi-million dollar partnerships with companies such as Chevron Corporation, with whom she worked on their landmark investment of \$30 million to the Global Fund to Fight HIV/AIDS, TB and malaria in support of health programs in Africa, Asia and the U.S.; advised global and national private sector coalitions on malaria and HIV/AIDS, and supported a number of leading advocacy initiatives with the business community including the Emmy-Award Winning “Get Tested” public service announcement with Kaiser Family Foundation and the National Basketball Association. Dalley holds a B.A. in international relations and French from Wesleyan College. She is the founder and CEO of Redlake Global, a boutique advisory firm that supports private sector leaders and individuals in their global health and sits on the boards of the Center for Active Design in New York and the Boardwalk Village Foundation in Jamaica.

Networking Session

3:50 PM EDT

Conference Concludes

5:15 PM EDT

MEET THE RFK COMPASS TEAM



SANCIA DALLEY
Senior Vice President,
Strategic Partnerships and Investor
Engagement, Robert F. Kennedy
Human Rights



FANTA NGOM
Senior Manager,
Compass Investor Program,
Robert F. Kennedy Human Rights



ORAINED O'RIGGIO
Program Associate,
Compass Investor Program,
Robert F. Kennedy Human Rights



NISA PATEL
Manager,
Stakeholder Relations
Robert F. Kennedy Human Rights

MEET THE RFK COMPASS INVESTORS PROGRAM ADVISORY COMMITTEE



SANCIA DALLEY, CO-CHAIR
Senior Vice President,
Compass Investor Program,
Robert F. Kennedy Human Rights



MARVIN ROSEN*, CO-CHAIR
Shareholder,
Greenberg Traurig



CHERYL ALSTON
Executive Director and
Chief Investment Officer,
Dallas Employees' Retirement Fund



MARK DEVINCITIS
Managing Director,
Crescent Capital



MICHAEL ELIO
Partner,
Stepstone



J. DAVID ENRIQUEZ
Head of Private Equity,
Bureau of Asset Management,
Office of the New York City Comptroller



DAVID FANN
Vice Chairman,
Aksia



JOSÉ E. FELICIANO*
Managing Partner,
Clearlake Capital Group



KATHLIKA FONTES
Director of Investor Relations,
Grain Management



AARON GERSHENBERG
Founder and Managing Partner,
Silicon Valley Bank Capital



SANG GUPTA
Partner,
Long Arc Capital



LINDSEY KING
Partner and Head of Investor
Relations, Crestview Partners



MINA PACHECO NAZEMI
Co-Head of Funds &
Co-Investments,
Barings



JOHN T. OXTOBY
Senior Vice President, Director
of Environmental, Social, and
Governance (ESG) Investing,
Ariel Investments



MICHAEL H. POSNER*
Director,
NYU Stern Center for Business
and Human Rights



RFK Compass Investor Action Plan

Four Steps Investors Must Take Now to Fight Economic and Racial Injustice

America's racial reckoning has been 400 years in the making. The deaths of George Floyd, Breonna Taylor, and countless other Black people at the hands of police have highlighted the inhumane treatment and systemic exclusion Black people have long faced—losing assets, opportunities, and even their lives—as a direct result of deep-seated anti-Black racism and discriminatory practices.

At Robert F. Kennedy Human Rights, we've tackled these issues head-on through key initiatives that include our criminal justice work; our Speak Truth to Power and RFK Young Leaders programs, which empowers the next generation of human rights defenders and civic leaders; and our Workplace Dignity program that works to build workplaces that honor the value of all employees. Ultimately, however, we recognize that meaningful progress toward racial equality requires the participation of one of the most powerful and influential groups in our society: the investment community. If we are to create lasting change, investors must be involved.

That's why we launched our Compass Investor Program in 2008 to engage and educate institutional investors, fund managers, and investment consultants about the importance of adopting new approaches to investing that actively consider human rights and good governance.

The RFK Compass team has identified the following four specific actions investors can take to dismantle these unjust systems—moving beyond discussions of diversity and inclusion toward building an equitable and just society for all.

Investor Action: Screen your portfolios, across all asset classes, to begin to rectify injustices by eliminating business models, products, and practices that support a racist economic and legal system.



- Divest from companies that actively support, and directly benefit from, the racist criminal legal system through mass incarceration, mass surveillance, and use of prison labor. These companies include:
 - **Technology firms** that make products such as facial scanning technology, predictive policing software, and IMSI catchers (eavesdropping devices) that are weaponized in Black communities and other communities of color. (See IBM's announcement about no longer selling these technologies.)
 - **Facility operations** that manage and maintain jails, prisons, and other detention centers used to cage Black people and other people of color.
 - **Financial institutions** that engage in predatory lending, cash checking, and payday lending schemes such as insurance firms and bail bonds companies.
 - **Catering and food service companies** that contract with private and public prisons that leverage prison labor in the delivery of their contract.
- Divest from companies that suppress the rights of employees to collectively bargain and form unions that are critical to ensuring safe working conditions, worker protections, a living wage, and increased democracy in the workplace.
- Demand that portfolio companies share more profits and pay—and sustain—a living wage to workers in the agriculture, food processing, restaurant, and gig sectors, and create safe, fair, working conditions based on a human rights-based code of conduct such as created by the Fair Food Standards Council.
- Demand that portfolio companies offer paid time off and health care coverage to part-time and non-exempt employees.
- Encourage portfolio companies, especially those with large workforce's and those operating in labor-intensive industries, to “ban the box” and to hire and train “returning citizens” (formerly incarcerated individuals) and/or support worker co-ops that employ and train these individuals.
- Review investments in municipal bonds that may be supporting police brutality case payouts, and commit to not investing in these bonds and not doing business with the insurance firms that back them.
- Invest in community-driven alternatives such as community development finance institutions such as Bedford Stuyvesant Restoration Corporation and worker-owned cooperatives, especially those that are Black-owned and led.
- Demand that major retailers in your portfolio companies, including Whole Foods, Amazon, Target, Walmart, Nordstrom, and others, allocate 15% of their shelf space to products from Black-owned businesses.
- Hold your organizations and all portfolio companies accountable for lobbying efforts that cause harm to communities of color, and proactively and publicly push for increases in the tax rate paid by the private sector and other policies to ensure increased resources to meet people's basic social needs through education, health care, housing, and opportunity.

***Investor Action:* At the board level of your institutions, eliminate processes and decisions that uphold racist practices and structures.**

2

- Commit to hiring *at least 5* Black leaders within the next 12 months to your board and/or within your investment committee.
- Allocate *at least 5* percent of existing capital in your investment funds to Black-owned investment firms and businesses.
- Direct at least 2 percent of net banking income to fund Tier 1 capital of local community development banks and minority depository institutions that serve Black communities.
- Require proxy voting companies and their agents to prioritize race issues at corporate board meetings, and report these issues and data to shareholders.

***Investor Action:* Build a workplace that honors dignity and is racially inclusive at all levels (beyond support teams).**

3

- Recruit and hire from historically Black colleges and universities to increase racial diversity on investment and sales teams, and create intern-to-hire programs with these schools to build a steady pipeline of Black talent for executive leadership growth.
- Underwrite scholarships, and support paying off student loans, for Black employees who work with your firm.
- Contract with *at least 5* majority Black-owned businesses within the next 12 months for commercial services such as legal, communications (marketing, advertising, etc.), and consulting, in addition to facility operations (janitorial, food services, etc.).
- End contractual and business relationships with private and public policing systems.

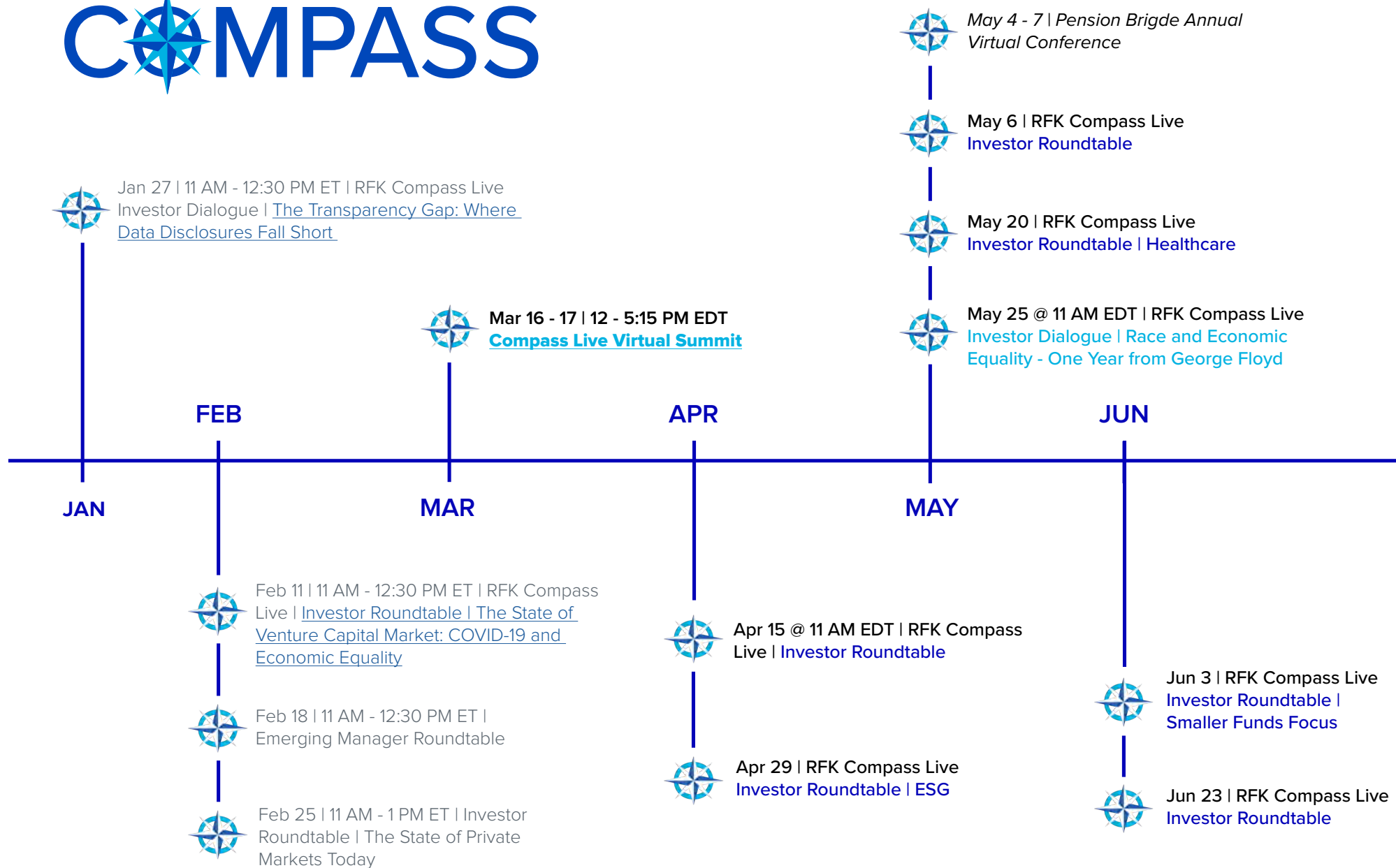
***Investor Action:* Support policy initiatives and campaigns that seek to dismantle racist practices and structures, and support new systems that create positive change.**

4

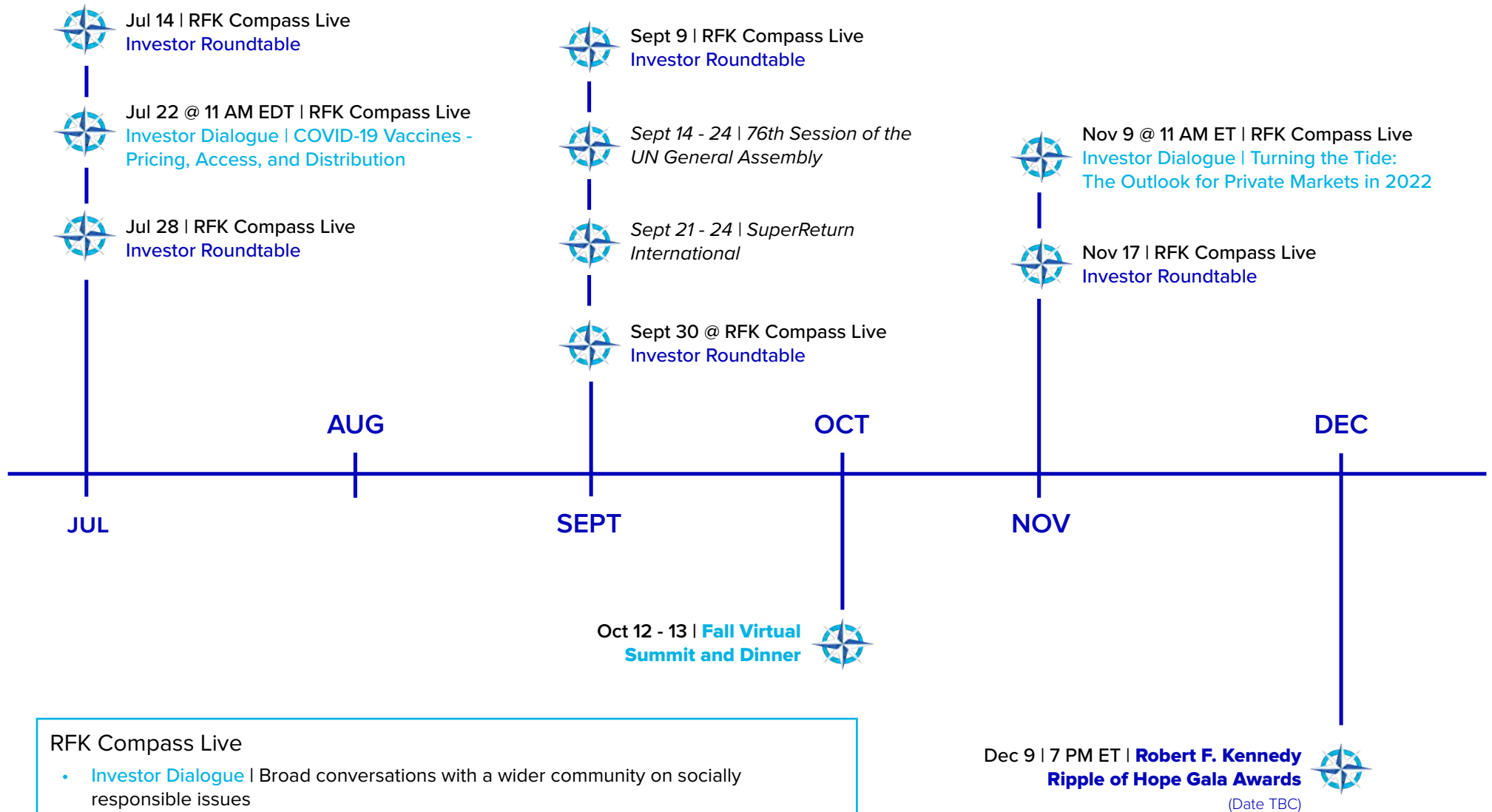
- Legislation and actions that decrease city budget allocations to police departments and redirect those funds to local community-building initiatives, such as skills training and social services.
- Legislation and actions that require all employers, particularly those employing farm workers, tipped workers and domestic workers to pay and sustain a living wage.
- Plans to eliminate financially restrictive policies that prevent Black-owned businesses and entrepreneurs, as well as formerly incarcerated individuals, from accessing federal and state-level business loans.

ROBERT F. KENNEDY HUMAN RIGHTS

COMPASS



2021 Calendar of Events





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KENNEDY
HUMAN
RIGHTS**

**EXPOSE INJUSTICE. TEACH CHANGE.
RIGHT WRONG. HEAL PAIN. JOIN US.**



Stay in touch with the RFK Compass
Team at compass@rfkhumanrights.org.



@RFKHumanRights

RFKHumanRights.org